

| ENFORCEMENT STATISTICS – MARCH 2023 | | |
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| Membership Category | Infraction Type | March 2023 |
| Dealing Member (Banks) | Failure to meet the 35% Request-for-Quotes compliance | - |
| | Late submission of trade data | - |
| | Failure to place all firm quotes by 10:00 AM on all benchmark securities | 4 |
| | Settlement default | - |
| | Non-Submission of Member-Client Transaction | - |
| | Inaccurate trade report (Fixed Income) | - |
| | Inaccurate trade report (Foreign Exchange) | 14 |
| | OTC FX Futures Standard violation | - |
| | Failure to update Members' Authorized Representatives | - |
| | Total | 18 |
| | Fine (₦) | - |
| Associate Member (Inter-Dealer Brokers and Brokers) | Rendition of incomplete and inaccurate data | - |
| | Late/Non-submission of brokerage activity data/reports | - |
| | Total | - |
| | Fine (₦) | - |
| Registration Member (Quotations) | Late submission of Compliance Report | |
| | Rollover of a commercial paper without approval | - |
| | Failure to effect repayment of the commercial paper on the maturity date | - |
| | Failure to regularize deferred requirements within the stipulated timeframe | 1 |
| | Failure to provide commercial paper quotation requirements within the stipulated timeframe | - |
| | Failure to adhere to the minimum commercial paper issue size requirement | - |
| | Failure of the sponsor to notify the Exchange of CP issued under the programme within the stipulated timeframe | - |
| | Total | 1 |
| | Fine (₦) | - |