



**Depository Eligibility Form
(Capital Market Operator)**

FMDQ Depository Limited

Depository Eligibility Form (Capital Market Operator)

Eligibility Type: Trustees Pension Custodian Asset Custodian/Nominees
 Issuing House Registrars Stockbroker Fund Manager

Participant Particular(s)

Name of Participant			
Office Address			
Direct Line			
Company Email Address			
Company Tax Identification Number			
Company Registration (RC) No.		Company Registration Date	
Name of Settlement Bank			
Settlement Bank Account No.			
Local Entity Identification (LEI) Code <i>(If Applicable)</i>		SWIFT BIC Code <i>(If Applicable)</i>	

Principal Officer(s) Details

(At least two (2) Principal Officers plus Compliance Officer must be provided)

Principal Officer 1:	
Managing Director/CEO	
Mobile Number and Direct Line	
Email Address	
Bank Verification Number (BVN)	
National Identification Number (NIN)	

Principal Officer 2:	
Name	
Designation	
Mobile Number and Direct Line	
Email Address	
Bank Verification Number (BVN)	
National Identification Number (NIN)	

Principal Officer 3:	
Name	
Designation	
Mobile Number/Direct Line	



Email Address	
Bank Verification Number (BVN)	
National Identification Number (NIN)	

Principal Officer 4: Compliance Officer	
Mobile Number/Direct Line	
Email Address	
Bank Verification Number (BVN)	
National Identification Number (NIN)	

The completed form should be accompanied with the underlisted:

- A certified true copy of the 'Memorandum and Articles of Association' for the company
- A certified true copy of the 'Board Resolution' to onboard as a participant of FMDQ Depository (**Must include names of individual(s) nominated as signatories to the account**)
- Certificate of Incorporation
- Particulars of Directors - CAC Form 7 (**Include CAC 1.1 or Status Report where there is change of Director**)
- Duly completed 'Signature Mandate Form(s)' – see following page of this form
- Means of Identification (i.e. International Passport bio page preferred/if not available Driver's License) **and** National Identification Number Slip of all **nominated principal officer(s)**
- Evidence of Securities and Exchange Commission (SEC) Registration (**For SEC regulated entities**)
- Evidence of Trading Exchange License/Approval for proprietary and/or client trade (**If Applicable**)
- Evidence of first annual payment (₦50,000.00)

Declaration

We, (.....) declare that the information provided herein is true and correct, and hereby agree to indemnify and hold harmless, FMDQ Depository Limited against any liability that may arise due to any negligence, fraud and/or misrepresentation based on the details provided above. In addition, we have confirmed that:

- Know your Customer (**KYC**) Due Diligence is conducted on all our clients in line with 'Securities and Exchange Commission Rules and Regulation, 2013' and 'Nigerian Financial Intelligence Unit Anti-Money Laundering Act as amended'

.....
Name of Company Secretary

.....
Signature & Date

.....
Name of Managing Director/CEO

.....
Signature & Date

Correspondence Email Address:	
General Correspondence	
Emails	
Operations Team/Group	
Emails	
Other Groups	
Emails	



FMDQ Depository Limited
Signature Mandate Form

Name of Institution	
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Authorised Signatory

Name of Signatory	
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Designation of Signatory	
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<u>Signature Specimen</u>	<u>Signatory Class (A, B or C)</u>	<u>Recent Passport Photograph</u>

Authorised Signatory

Name of Signatory	
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Designation of Signatory	
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<u>Signature Specimen</u>	<u>Signatory Class (A, B or C)</u>	<u>Recent Passport Photograph</u>

Authorised Signatory

Name of the Signatory	
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Designation of Signatory	
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<u>Signature Specimen</u>	<u>Signatory Class (A, B or C)</u>	<u>Recent Passport Photograph</u>

Signing Instruction (E.g. A + B, Sole signatory)	
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To ensure the information for your company is accurate and up to date, you are required to inform FMDQ Depository Limited in writing of any change(s) to your authorised signatory(ies) as they occur. FMDQ Depository Limited shall not be held liable for processing any transaction based on the information contained herein as a result of your company's failure to provide updates to its records with FMDQ Depository Limited.



FMDQ Depository Limited
Signature Mandate Form

Name of Institution	
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Authorised Signatory

Name of Signatory	
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Designation of Signatory	
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<u>Signature Specimen</u>	<u>Signatory Class (A, B or C)</u>	<u>Recent Passport Photograph</u>

Authorised Signatory

Name of Signatory	
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Designation of Signatory	
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<u>Signature Specimen</u>	<u>Signatory Class (A, B or C)</u>	<u>Recent Passport Photograph</u>

Authorised Signatory

Name of the Signatory	
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Designation of Signatory	
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